



# RULE-MAKING ORDER PERMANENT RULE ONLY

## CR-103P (December 2017) (Implements RCW 34.05.360)

CODE REVISER USE ONLY

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STATE OF WASHINGTON  
FILED

DATE: July 24, 2024

TIME: 1:32 PM

WSR 24-16-002

**Agency:** Department of Social and Health Services, Aging Long Term Support Administration, HCS

**Effective date of rule:**

**Permanent Rules**

- 31 days after filing.
- Other (specify) \_\_\_\_\_ (If less than 31 days after filing, a specific finding under RCW 34.05.380(3) is required and should be stated below)

**Any other findings required by other provisions of law as precondition to adoption or effectiveness of rule?**

- Yes  No If Yes, explain:

**Purpose:** The Department of Social and Health Services (department) is adopting new rules to implement provisions of Engrossed Second Substitute Senate Bill 5440, (chapter 453, laws of 2023). The department is adding new sections in 388-106- WAC to support the new civil transitions program created because of E2SSB 5440. These sections include WAC 388-106-2000 through WAC 388-106-2050. The adopted rules describe eligibility for the civil transitions program, and the package of services provided for individuals who are referred by Behavioral Health Administration who have been found incompetent to stand trial due to dementia, traumatic brain injury, or intellectual or developmental disability, and not functionally or financially eligible for long term services and supports. The rules describe services and duration.

**Citation of rules affected by this order:**

New: WAC 388-106-2000, 388-106-2005, 388-106-2010, 388-106-2015, 388-106-2020, 388-106-2025, 388-106-2030, 388-106-2035, 388-106-2040, 388-106-2045, and 388-106-2050

Repealed:

Amended:

Suspended:

**Statutory authority for adoption:** RCW 10.77.202, 74.08.090, and 74.09.520

**Other authority:**

**PERMANENT RULE (Including Expedited Rule Making)**

Adopted under notice filed as WSR 24-11-137 on May 21, 2024 (date).  
Describe any changes other than editing from proposed to adopted version: none

If a preliminary cost-benefit analysis was prepared under RCW 34.05.328, a final cost-benefit analysis is available by contacting:

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**Note: If any category is left blank, it will be calculated as zero.  
No descriptive text.**

**Count by whole WAC sections only, from the WAC number through the history note.  
A section may be counted in more than one category.**

**The number of sections adopted in order to comply with:**

Federal statute:	New	___	Amended	___	Repealed	___
Federal rules or standards:	New	___	Amended	___	Repealed	___
Recently enacted state statutes:	New	<u>11</u>	Amended	___	Repealed	___

**The number of sections adopted at the request of a nongovernmental entity:**

New	___	Amended	___	Repealed	___
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**The number of sections adopted on the agency's own initiative:**

New	___	Amended	___	Repealed	___
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**The number of sections adopted in order to clarify, streamline, or reform agency procedures:**

New	<u>11</u>	Amended	___	Repealed	___
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**The number of sections adopted using:**

Negotiated rule making:	New	___	Amended	___	Repealed	___
Pilot rule making:	New	___	Amended	___	Repealed	___
Other alternative rule making:	New	<u>11</u>	Amended	___	Repealed	___

**Date Adopted:** July 24, 2024

**Name:** Lisa Yanagida

**Title:** DSHS Chief of Staff

**Signature:**



NEW SECTION

**WAC 388-106-2000 What definitions apply to the civil transitions program?** "Aging and long-term support administration (AL TSA)" is an administration within the department of social and health services that promotes choice, independence, and safety through innovative services and partnerships with tribes, advocates, providers, and care-givers to support seniors, and people with disabilities so they can live with good health, independence, dignity, and control over decisions that affect their lives.

"Behavioral health administration (BHA)" is an administration within the department of social and health services that supports sustainable recovery, independence, and wellness. This is done by funding and supporting effective prevention and intervention services for youth and family, and treatment and recovery support for youth and adults with addiction and mental health conditions (also known as behavioral health). BHA operates three state psychiatric hospitals and the office of forensic mental health services that deliver high quality services to adults and children with complex needs.

"Civil transitions program" is a program implemented according to Engrossed Second Substitute Senate Bill 5440 to provide support services to individuals recently subject to criminal charges and found incompetent to stand trial due to an intellectual or developmental disability, traumatic brain injury, or dementia.

"Competency evaluation" is an assessment of the ability of a defendant to understand and rationally participate in a court process under the United States criminal justice system.

"Conditional services" is a term that describes the services available under the civil transitions program for an individual who does not meet functional eligibility criteria under medicaid personal care level of care under WAC 388-106-0210 or nursing facility level of care under WAC 388-106-0355 or is not financially eligible for Washington apple health, or is not functionally or financially eligible.

"Dementia" is a general term for loss of memory, language, problem-solving, and other thinking abilities that are severe enough to interfere with daily life. The term "dementia" is no longer used to refer to the etiological subgroups for which it is standard, but it is instead included under the newly titled term significant neurocognitive disease. For consistency, the term "dementia" is still used in the DSM-5 and can be applied in situations where patients and doctors are familiar with it. The phrase neurocognitive disorder is commonly used, while dementia is the standard word for illnesses such as the degenerative dementias that typically impact older persons (DSM-5-TR).

Neurocognitive disorder is characterized by the progressive and persistent deterioration of cognitive function. Cognitive deficits are sufficient to interfere with independence, do not occur exclusively in the context of a delirium, and are not attributable to another mental disorder (e.g. major depressive disorder, schizophrenia).

Specific major neurocognitive diagnosis, primary diagnosis:

- (a) Major neurocognitive disorder due to Alzheimer's disease;
- (b) Major frontotemporal neurocognitive disorder (Pick's);
- (c) Major neurocognitive disorder with Lewy bodies;
- (d) Major vascular neurocognitive disorder (Vascular);
- (e) Major neurocognitive disorder due to traumatic brain injury;
- (f) Substance or medication-induced major neurocognitive disorder (alcohol-related);

- (g) Major neurocognitive disorder due to HIV infection;
- (h) Major neurocognitive disorder due to Prion disease;
- (i) Major neurocognitive disorder due to Parkinson's disease;
- (j) Major neurocognitive disorder due to Huntington's disease.

**"Not Competent"** means a legal determination has been made by a court that a defendant is unable to stand trial based on being diagnosed with a mental disease or defect which prevents them from understanding court proceedings or being able to rationally assist in their own defense per chapter 10.77 RCW.

**"Not Restorable"** means a court has found that a person lacks the capacity to have competency restored via inpatient or outpatient treatment.

**"Office of forensic mental health services (OFMHS)"** is a division of the department of social and health services' behavioral health administration that oversees the state's adult forensic mental health system.

**"Washington apple health"** means the public health insurance programs for eligible Washington residents defined in WAC 182-500-0120. Washington apple health or apple health is the name used in Washington state for medicaid, the children's health insurance program (CHIP), and state-only funded health care programs.

#### NEW SECTION

**WAC 388-106-2005 Who is eligible to apply to receive services under the civil transitions program?** You are eligible to apply for services under the civil transitions program if you:

- (1) Are referred to ALTSA from the BHA starting December 1, 2023;
- (2) Have been determined by a court as not competent to stand trial due to a diagnosis of dementia, a traumatic brain injury, or an intellectual or developmental disability, and your competency is not restorable;
- (3) Complete an assessment of your functional eligibility using the comprehensive assessment reporting evaluation (CARE) tool under WAC 388-106-0050 to determine if you are functionally eligible for:
  - (a) Nursing facility level of care under WAC 388-106-0355; or
  - (b) Medicaid personal care level of care under WAC 388-106-0210;and
- (4) File an application for Washington apple health so the department can determine if you are financially eligible for medicaid-funded long-term services and supports. The application process is described in WAC 182-503-0005.

#### NEW SECTION

**WAC 388-106-2010 What services may I receive under the civil transitions program if I am not functionally and financially eligible for long-term services and supports?** (1) If you meet the criteria in WAC 388-106-2005 but are not functionally eligible for medicaid personal care level of care under WAC 388-106-0210 or nursing facility level of care under WAC 388-106-0355, or you are not financially eli-

gible for Washington apple health, you may receive conditional services, subject to available funds, under the civil transitions program.

(2) If you meet the criteria in WAC 388-106-2005 but have yet to complete a CARE assessment and are, or at risk of becoming a "homeless person" as defined in RCW 43.185C.010, you may receive supportive housing services under the civil transitions program, as funding allows.

(3) Conditional services are described in WAC 388-106-2020.

#### NEW SECTION

**WAC 388-106-2015 What services may I receive under the civil transitions program if I am functionally and financially eligible for long-term services and supports?** If you are referred to AL TSA for services under the civil transitions program and you are both functionally eligible for long-term services and supports under chapter 388-106 WAC and financially eligible for medicaid-funded long-term services and supports, you are eligible for any service offered by AL TSA based on your assessment and identified in your plan of care. Financial eligibility criteria for long-term services and supports is determined under chapters 182-513 and 182-515 WAC.

#### NEW SECTION

**WAC 388-106-2020 What conditional services may I receive under the civil transitions program?** The legislature has appropriated specific funding for the civil transitions program for the provision of conditional services. If you meet the criteria in WAC 388-106-2005 you are eligible for conditional services as described below, subject to available funding.

(1) If you do not meet medicaid personal care level of care under WAC 388-106-0210 or nursing facility level of care under WAC 388-106-0355, and you are not financially eligible for Washington apple health, you can receive the following conditional services:

- (a) Community transition or sustainability services; and
- (b) Supportive housing as defined in WAC 388-106-1705.

(2) If you are determined functionally eligible for medicaid personal care level of care under WAC 388-106-0210, but you are not financially eligible for Washington apple health, you may receive the following conditional services if they are included in your plan of care:

- (a) Personal care services as defined in WAC 388-106-0010;
- (b) Community transition or sustainability services; and
- (c) Supportive housing as defined in WAC 388-106-1705.

(3) If you are determined functionally eligible for nursing facility level of care under WAC 388-106-0355 but you are not financially eligible for Washington apple health, you may receive the following conditional services if they are included in your plan of care:

- (a) Personal care services as defined in WAC 388-106-0010;
- (b) Nurse delegation as defined in WAC 388-106-0270;

- (c) Personal emergency response system (PERS) as defined in WAC 388-106-0270;
  - (d) Assistive technology as defined in WAC 388-106-0270;
  - (e) Supportive housing as defined in WAC 388-106-1705;
  - (f) Community transition services as defined in WAC 388-106-0270;
- and
- (g) Community transition or sustainability services.

NEW SECTION

**WAC 388-106-2025 Who can provide long-term services and supports when I am eligible for the civil transitions program conditional services?** The following types of providers can provide conditional services under the civil transitions program:

- (1) Individual providers (IPs) who provide services to clients in their own home as defined in WAC 388-106-0040 and 388-106-0010.
- (2) Home care agencies that provide services to clients in their own home. Home care agencies must be licensed under chapter 70.127 RCW and chapter 246-335 WAC and be contracted with the department of social and health services.
- (3) Providers who are contracted with the department to provide goods and services.
- (4) Durable medical equipment vendors that have a core provider agreement with the health care authority.
- (5) Supportive housing providers as defined in WAC 388-106-1715 or 182-559-200.

NEW SECTION

**WAC 388-106-2030 Where can I receive civil transitions program conditional services?** You can receive conditional services under the civil transitions program:

- (1) In your own home as defined in WAC 388-106-0030, or an interim setting while you secure permanent housing; and
- (2) While you are out of your home accessing the community or working while:
  - (a) within the state of Washington; or
  - (b) in a recognized out of state bordering city as defined in WAC 182-501-0175.

NEW SECTION

**WAC 388-106-2035 When will the department authorize my civil transitions program conditional services?** Within available funds, the department will authorize conditional services under the civil transitions program when you have:

(1) Completed an assessment of your functional eligibility using the comprehensive assessment reporting evaluation (CARE) tool under WAC 388-106-0050;

(2) Applied for Washington apple health;

(3) Been found eligible for conditional services under WAC 388-106-2010 and 388-106-2020;

(4) Given consent for services and approved your care plan; and

(5) Chosen a DSHS qualified provider per WAC 388-71-0510.

#### NEW SECTION

**WAC 388-106-2040 When will my civil transitions program conditional services end?** (1) If, at the time of your initial assessment you were found ineligible for medicaid-funded long-term services and supports, your civil transitions program conditional services, except supportive housing, will end the earlier of:

(a) The date you are found both functionally and financially eligible for medicaid-funded long-term services and supports;

(b) Six months from the start date of your services; or

(c) When the department has exhausted available funds.

(2) For conditional supportive housing services, your eligibility will be reviewed in six-month increments based upon available funding, up to a maximum of 24 months.

#### NEW SECTION

**WAC 388-106-2045 Do I have a right to an administrative hearing on civil transitions program conditional services?** You have a right to an administrative hearing if you disagree with a decision made by the department about your eligibility for services under the civil transitions program. The department will notify you in writing of the right to contest a decision and provide you with information on how to request a hearing.

#### NEW SECTION

**WAC 388-106-2050 Can an exception to rule (ETR) be granted for civil transitions program conditional services?** If you receive conditional services under the civil transitions program, an exception to rule under WAC 388-440-0001 will not be granted.